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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Stephen T. Kuehn et al.

Appln. No. : 10/784,674

Filed : February 23, 2004

For : MITRAL AND TRICUSPID VALVE  
REPAIR

Docket No.: S16.12-0135

Group Art Unit: 3731

Examiner: Julian W. Woo

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS  
BEING SENT BY U.S. MAIL, FIRST CLASS,  
TO THE COMMISSIONER FOR PATENTS,  
P.O. BOX 1450, ALEXANDRIA, VA 22313-  
1450, THIS

13 DAY OF September,  
2007.

Halle A. Juncare  
PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of U.S. Patents and Published Applications.

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/694,293, filed on October 23, 2000. References listed on the enclosed PTO Form 1449 were submitted to and/or cited by the Office in the prior application and copies are therefore not required to be provided herewith under 37 C.F.R. § 1.98(d).

TIME OF FILING

An information disclosure statement is being filed by the applicant within any one of the following time periods:

1. x 1. Within three month of the filing date of a  
national application other than a Continued  
Prosecution Application (CPA);

2. Within three months of the date of entry of the National Stage international application;
3. Before the mailing date of a first Office Action on the merits, or
4. Before the mailing of a first Office Action after the filing of a Request for Continue Examination (RCE).

**METHOD OF PAYMENT**

- ☒ No fee is required.
- ☐ Attached is form PTO-2038 in the amount of \$\_\_\_\_\_.

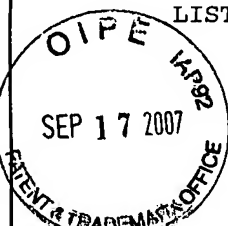
The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

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HAF:tlr

FORM PTO-1449		Atty. Docket No.: S16.12-0135	Appl. No.: 10/784,674
 <p>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p>		First Named Inventor:	
		Stephen T. Kuehn et al.	
		Filing Date	Group Art:
		February 23, 2004	3731

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	2,470,327	2/8/1946	W.M. Tener	29	232	
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
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AN						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	
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EXAMINER:

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.